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1605

OCT 19 2001

TECH CENTER 1600/2900  
PTO/SB/21 (6-98)

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Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

12

Application Number

09/749,152

Filing Date

December 27, 2000

First Named Inventor

Peter Watts

Group Art Unit

1615

Examiner Name

S. Tran

Attorney Docket Number

WC 110 CON

### ENCLOSURES (check all that apply)

Fee Transmittal Form

Fee Attached

Amendment / Response

After Final

Affidavits/declaration(s)

Extension of Time Request

Express Abandonment Request

Information Disclosure Statement

Certified Copy of Priority Document(s)

Response to Missing Parts/ Incomplete Application

Response to Missing Parts under 37 CFR 1.52 or 1.53

Assignment Papers (for an Application)

Drawing(s)

Licensing-related Papers

Petition Routing Slip (PTO/SB/69) and Accompanying Petition

Petition to Convert to a Provisional Application

Power of Attorney, Revocation Change of Correspondence Address

Terminal Disclaimer

Small Entity Statement

Request for Refund

After Allowance Communication to Group

Appeal Communication to Board of Appeals and Interferences

Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

Proprietary Information

Status Letter

Additional Enclosure(s) (please identify below):

Return receipt post-card.

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Holland & Knight LLP

Zhaoyang Li, Reg. No. 46,872

Signature

Date

October 11, 2001

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: October 11, 2001

Typed or printed name

Aisha Wyatt

Signature

Date

October 11, 2001

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079001/00014

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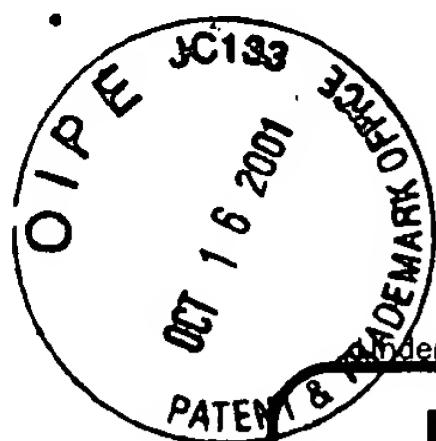
OCT 19 2001

TECH CENTER 1600/2000

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)-0-

## Complete if Known

Application Number	09/749,152
Filing Date	December 27, 2000
First Named Inventor	Peter Watts
Examiner Name	S. Tran
Group Art Unit	1615
Attorney Docket No.	WC 110 CON

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-1868

Deposit Account Name Holland &amp; Knight LLP

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

 Check  Credit card  Money Order  Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 Surcharge - late filing fee or oath	
127	50	227 Surcharge - late provisional filing fee or cover sheet	
139	130	139 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 Extension for reply within first month	
116	390	216 Extension for reply within second month	
117	890	217 Extension for reply within third month	
118	1,390	218 Extension for reply within fourth month	
128	1,890	228 Extension for reply within fifth month	
119	310	219 Notice of Appeal	
120	310	220 Filing a brief in support of an appeal	
121	270	221 Request for oral hearing	
138	1,510	138 Petition to institute a public use proceeding	
140	110	240 Petition to revive - unavoidable	
141	1,240	241 Petition to revive - unintentional	
142	1,240	242 Utility issue fee (or reissue)	
143	440	243 Design issue fee	
144	600	244 Plant issue fee	
122	130	122 Petitions to the Commissioner	
123	50	123 Petitions related to provisional applications	
126	240	126 Submission of Information Disclosure Stmt	
581	40	581 Recording each patent assignment per property (times number of properties)	
146	710	246 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249 For each additional invention to be examined (37 CFR § 1.129(b))	
179	279	355 Request for Continued Examination (RCE)	
169	900	169 Request for expedited examination of a design application	
Other fee (specify) _____			

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
13	-20	= [ ] X [ ] = [ ]	[ ]
3	-3	= [ ] X [ ] = [ ]	[ ]
Multiple Dependent		[ ] = [ ]	

## Large Entity Small Entity

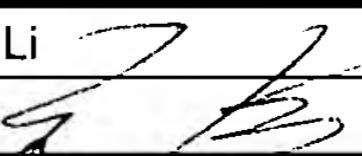
Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)-0-

Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)-0-

## SUBMITTED BY

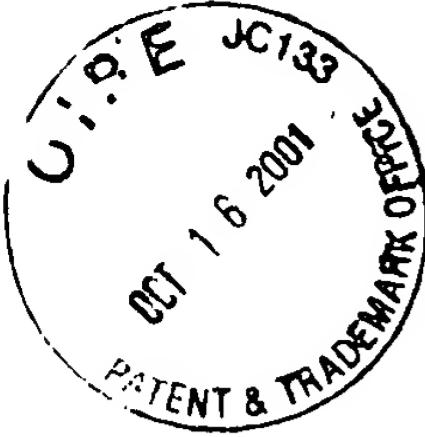
Complete (if applicable)

Name (Print/Type)	Zhaoyang Li 	Registration No. (Attorney/Agent)	46,872	Telephone	404 817-8473
Signature				Date	October 11, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
OCT 19 2001

Applicants: Peter Watts

TECH CENTER 1600/2900

Serial No.: 09/749,152

Group Art Unit: 1615

Filed: December 27, 2000

Examiner: S. Tran

For: *COLONIC DRUG DELIVERY COMPOSITION*

Assistant Commissioner for Patents  
Washington, D.C. 20231

*P.T.K.  
J.B.  
10/23/01*

#### AMENDMENT AND RESPONSE TO OFFICE ACTION

Sir:

In response to the Office Action mailed July 17, 2001, please amend the claims and consider the remarks as follows. Please address all future correspondence to:

**Patrea L. Pabst  
Holland & Knight LLP  
One Atlantic Center, Suite 2000  
1201 W. Peachtree Street  
Atlanta, GA 30309-3400  
(404) 817 - 8473  
(404) 817 - 8588 (fax)**

It is believed that no additional fee is required with this submission. However, should an additional fee be required, the Commissioner is hereby authorized to charge the fee to Deposit Account No. 50-1868.

#### In the claims

1. (Twice amended) A drug delivery composition for delivering a drug to the colonic region comprising a starch capsule containing the drug and wherein the starch capsule is provided with a coating such that the drug is predominantly released from the capsule in the